Docket No.:582/9-2294

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Albert BAUER Conf. No.:

Serial No.: to be assigned,

based on PCT International application no. EP2005/051516

International Filing Date: 05 April 2005

For: COOLING AND/OR HEATING DEVICE

\_\_\_\_\_

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

### <u>INFORMATION DISCLOSURE STATEMENT</u>

Sir:

The applicant in the above-referenced matter wish to bring to the Examiner's attention the cited references listed on the enclosed Form PT0/SB/08. A copy of each cited reference(s) (3), is included. A copy of the International Search Report is also enclosed herewith for the statement of relevance.

No representation is made as to the materiality of this information by this submission. Since submission of this document is before the first office action, no fee is included. If there is a fee required at this time, the Commissioner is authorized to charge our Deposit Account No.: 04-0838.

Respectfully submitted,

COLEMAN SUDOL SAPONE, P.C. 714 Colorado Avenue Bridgeport, Connecticut 06605-1601 Telephone No. (203) 366-3560 Facsimile No. (203) 335-6779

	Application Number			
INFORMATION BLOOK COURT	Filing Date		2006-10-02	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor Alber		rt BAUER	
( Not for submission under 37 CFR 1.99)	Art Unit			
	Examiner Name			
	Attorney Docket Number		582/9-2294	

U.S.PATENTS									Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>			Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relev Figures Appear			
	1										
If you wis	h to ac	dd additional U.S. Pate	nt citatio	n inform	ation pl	ease click the	Add button.		Add		
			U.S.P.	ATENT	APPLIC	CATION PUB	LICATIONS		Remove		
Examiner Initial*	I Publication Number I I		tion	of cited Document		Releva	jes,Columns,Lines where evant Passages or Relevant ures Appear				
	1										
If you wis	h to ac	dd additional U.S. Publi	ished Ap	plication	citation	n information <sub>l</sub>	olease click the Add	button	. A <b>d</b> d		
				FOREIC	SN PAT	ENT DOCUM	IENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>		Kind Code <sup>4</sup>	Publication Date	Name of Patentee Applicant of cited Document	e or V	vhere Rele	or Relevant	T5
	1	19912588	DE		A1	2000-09-21	KSB AG				
	2	4203613	DE		A1	1993-08-12	Sandler Energieted GMBH & Co.	hnik			
	3	4221725	DE		A1	1994-01-05	Buderus Heitztechi GMBH	nik			

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		2006-10-02
First Named Inventor Alber		t BAUER
Art Unit		
Examiner Name		
Attorney Docket Number		582/9-2294

If you wis	h to ac	d additional Foreign Patent Document citation information please click the Add button Add						
		NON-PATENT LITERATURE DOCUMENTS Remove						
Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.								
	1							
If you wis	h to ac	d additional non-patent literature document citation information please click the Add button Add						
		EXAMINER SIGNATURE						
Examiner Signature Date Considered								
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
Standard S1  4 Kind of doc	Γ.3). <sup>3</sup> F cument	USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code or Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check not stated.	document.					

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		2006-10-02
First Named Inventor Albert		t BAUER
Art Unit		
Examiner Name		
Attorney Docket Number		582/9-2294

Plea	ase see 37 CFR	1.97 and 1.98 to make the appr	ropriate selection(s):					
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OF	2							
	foreign patent after making re any individual	office in a counterpart foreign a easonable inquiry, no item of inf	information disclosure statement was application, and, to the knowledge of formation contained in the information more than three months prior to the	the person signing the certification disclosure statement was known to				
	See attached of	ertification statement.						
	Fee set forth in	37 CFR 1.17 (p) has been sub	mitted herewith.					
$  \checkmark  $	None		SIGNATURE					
	signature of the a		uired in accordance with CFR 1.33, 10	0.18. Please see CFR 1.4(d) for the				
Sigi	nature	/WJS/	Date (YYYY-MM-DD)	2006-10-02				
Nar	ne/Pri <b>n</b> t	William J. Sapone	Registration Number	32518				
pub 1.14 app requ Pat	lic which is to file  4. This collection  lication form to t  uire to complete  ent and Tradema	e (and by the USPTO to process n is estimated to take 1 hour to o he USPTO. Time will vary depe this form and/or suggestions for ark Office, U.S. Department of C	1.97 and 1.98. The information is reques) an application. Confidentiality is governmented including gathering, preparing anding upon the individual case. Any correducing this burden, should be sent to commerce, P.O. Box 1450, Alexandria, SS. SEND TO: Commissioner for Page 1.99.	g and submitting the completed omments on the amount of time you to the Chief Information Officer, U.S. VA 22313-1450. DO NOT SEND				

**CERTIFICATION STATEMENT** 

VA 22313-1450.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.